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Supplementary Material Available: Complete experimental details and spectral data (<sup>1</sup>H NMR, <sup>13</sup>C NMR, and IR) for the preparation of  $\beta$ -keto esters and all cyclizations not given in the Experimental Section (27 pages). Ordering information is given on any current masthead page.

# Bornanesultam-Directed Asymmetric Synthesis of Crystalline, Enantiomerically Pure Syn Aldols

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Abstract: N-acylsultams 2 furnish, via aldolization of their enolates 16 with aldehydes, diastereomerically pure, crystalline syn aldols. The absolute configuration of the product is controlled by the choice of the enolate counterion: 16,  $M = B \rightarrow B$ 3; 16,  $M = Li \text{ or } Sn(IV) \rightarrow 5$ . Hydroperoxide-assisted hydrolysis/esterification or reductive cleavage provided enantiomerically pure methoxycarbonyl aldols (12 and 13) or 1,3-diols (11) with recovery of auxiliary 1. The chiral serricornin precursor 14 was thus prepared.

Asymmetric aldol reactions have attracted widespread interest over the past decade, promoting considerable gain in insight and methodology.1 Nevertheless, applications in synthesis would greatly benefit from the prospect of purifying the initially formed aldol products by crystallization. As a complement to a previous communication,<sup>2</sup> this article reports the first example which meets this and other relevant criteria (accessibility, versatility of chiral auxiliary as well as yields, metal-directed diastereo- and  $\pi$ -face selectivities of reactions).

#### Results

Sultam 1 (as well as its antipode readily available on a kg-scale<sup>3</sup>) were smoothly acylated with acylchlorides/NaH to provide starting acylsultams 2.

Boron-Mediated Aldolizations. We first addressed the firmly established dibutylboryl enolate methodology.<sup>4</sup> Treatment of acylsultams 2 with freshly prepared dibutylboryl triflate/ $EtN(iPr)_2$ (1.1 mol equiv) at -5 °C in CH<sub>2</sub>Cl<sub>2</sub>, followed by addition of an aldehyde R<sup>2</sup>CHO at -78 °C provided, on workup, syn aldols 3 (Scheme I, Table I entries 1, 2, 4, 6-9, 11, 12). Although major isomers 3 were usually isolated in good yields, conversions  $2 \rightarrow$ 3 often remained incomplete. Employing an excess of  $Bu_2BOTf/EtN(iPr)_2$  resulted in lower stereoselectivities.

More conveniently and more efficiently, aldols 3 were obtained by using in situ prepared diethylboryl triflate/EtN $(iPr)_2$  (2 mol

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i)  $\text{R}_2\text{BOTf}$  ,  $\text{E1}(\text{iPr})_2\text{N}$  ,  $\text{CH}_2\text{Cl}_2$  , -5°C ;  $\text{R}^2\text{CHO}$  ,-78°C. ii) nBuLi . THF -78°C or nBuLi , Bu<sub>3</sub>SnCl ; R<sup>2</sup>CHO .-78°C

equiv, entries 3, 5, 10) following a protocol described for Nacyloxazolidinone/azetidinone aldolizations.<sup>5</sup> HPLC analysis of the crude products 3 showed (independent of the boryl triflate) very high diastereomeric purity which was increased to virtually

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Table I. Boron-Mediated Asymmetric Aldolizations:  $2 \rightarrow 3$ 

		sultam aldehyde boron-subst.			product ratio				de (%)
		R <sup>1</sup>	R <sup>2</sup>	R	3/5/4 + 6	major prod.	yield (%) FC <sup>b</sup>	yield (%) cryst.	cryst.
1	a	Me	Ph	Bu	99:1:0	3a		80	>99
2	b	Me	Me	Bu	>99:<1:0	3b	78	69	>99
3	с	Me	Et	Et	98:2:0	3c	83	80	>99
4	d	Me	<i>i</i> Pr	Bu	97:3:0	3d	73	71	>99
5	d	Me	<i>i</i> Pr	Et	97.3:2.7:0	3d	82	76	>99
6	e	Me	MeCH=CH	Bu	>98:<2:0	3e	59	54	>99
7	f	Me	p-MeOPh	Bu	97.5:2.5:0	3f		48	>99
8	g	Et	Ph	Bu	97.5:0:2.5:0	3g		70	>99
9	ĥ	Et	Me	Bu	94.2:2.3:3.5:0	3ĥ	73	65	>99
10	h	Et	Me	Et	96:1.5:2.5:0	3h	87	82	>99
11	i	Et	<i>i</i> Pr	Bu	98.9:1.1:0	3ì	80	66	>99
12	j	<i>n</i> Bu	Ph	Bu	>98:<1:<1	<b>3</b> i		64	>99

<sup>a</sup> The anti products were generally not assigned either structure 4 or 6 except product 6a which was compared with an authentic sample.<sup>12</sup> <sup>b</sup> FC = flash chromatography.

Table 1I. Li(1)- or Sn(1V)-Mediated Asymmetric Aldolizations:  $2 \rightarrow 5$ 

		<b>R</b> <sup>1</sup>	R <sup>2</sup>	metal	product ratio <sup>a</sup> $3/5/4 + 6$	major prod.	yield (%) cryst.	de (%) cryst.
13	a	Me	Ph	Li(I)	10:75.7:9.1:5.2	5a	55	986
14	а	Me	Ph	Sn(IV)	7.4:85.2:0:7.4	5a	67	>99
15	d	Me	iPr	Li(Ì)	15:76.3:8.6	5d		
16	d	Me	iPr	Sn(IV)	12.6:84.8:2.5	5d	44	>99
17	k	Me	nPr	Sn(IV)	21:79:0	5k	53°	>95 <sup>b</sup>
18	e	Me	MeCH=CH	Sn(IV)	5:64.5:30	5e	44	>99
19	g	Et	Ph	Li(Ì)	6.6:87.8:5.6	5g	59	>99
20	g	Et	Ph	Sn(IV)	10.2:80.5:9.2	5g	64	>99
21	ĭ	Et	iPr	Sn(IV)	0:82.2:16.7	<b>5</b> ĭ	47	>99
22	1	Et	MeCH=CH	Sn(IV)	2:66.2:31.7	51	31	>99

<sup>a</sup> The minor isomer observed in entry 17 was tentatively assigned. <sup>b</sup>By <sup>1</sup>H NMR analysis. <sup>c</sup>Oil purified by flash chromatography (FC).

100% de by flash chromatography (FC) and crystallization (entries 2-7, 9-11) or simply by direct crystallization (entries 1, 8, 12). Aldols 3 were further characterized as their crystalline O-tertbutyldimethylsilyl (TBDMS derivatives 7 (100% de by GC).

Li(I)- or Sn(IV)-Mediated Aldolizations. Counterion effects on the  $\pi$ -face discrimination and diastereoselectivity of aldolizations have been amply described.<sup>6-10</sup> To explore the role of the enolate counterion, propionylsultam **2a** was successively treated with LlCA (-78 °C),<sup>11</sup> an (alkyl)metal halide (ZnCl<sub>2</sub>,<sup>6</sup> Me<sub>2</sub>AlCl, EtAlCl<sub>2</sub>,<sup>7</sup> CeCl<sub>3</sub>,<sup>8</sup> Cp<sub>2</sub>ZrCl<sub>2</sub>,<sup>9</sup> and Bu<sub>3</sub>SnCl,<sup>6</sup> -78 to 0 °C) and benzaldehyde (-78 °C). HPLC and <sup>1</sup>H NMR analyses of the crude products formed from the Al(III), Zn(II), and Ce(III) enolates revealed low stereoselectivities resulting in mixtures of aldols 3a-6a; attempted Zr(IV)- or Sn(II)<sup>10</sup>-mediated aldolizations failed to give discernible products (Table V, Experimental Section). As a notable exception, syn aldol 5a was obtained in reasonably high selectivity via the Li(I) or Bu<sub>3</sub>Sn enolate.

Recently, a reversed sense of induction was also observed on aldolizations of boron versus lithium, zinc, and tin(IV) enolates derived from  $\alpha$ -silyloxyketones,<sup>6a</sup>  $\alpha$ -haloacetyloxazolidinones,<sup>6b</sup> and acylthiazolidinethiones.<sup>6c</sup> The analogous reversal of topicity found with Li(I) and Sn(IV) enolates derived from sultams 2 is even more remarkable since the major syn aldols 5 were easily purified by FC and crystallization as summarized in Table II.

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(11) Treatment of acylsultams 2 with lithium cyclohexylisopropylamide

(LICA, -78 °C) gave transient lithium enolates 16 with equal stereoselectivity (cf. Table V, Experimental Section) but caused some deprotonation at C(10).





Thus, kinetically controlled deprotonation of propionylsultam 2a with n-BuLi,<sup>11</sup> followed by treatment of the resulting lithium enolate with benzaldehyde at -78 °C, afforded pure syn aldol 5a (55% yield, 98% de, entry 13) with configurations at C(2) and C(3) opposite to those of **3a**.

Transmetalation of the lithiated sultam 2a with Bu<sub>3</sub>SnCl (1.2 mol equiv, -78 °C, 1 h), addition of benzaldehyde (-78 °C), workup, FC, and crystallization provided aldol 5a in 67% yield and in >99% de (Table II, entry 14). Further examination of Table II shows that pure aldols 5 were generally obtained in somewhat higher yields by using the Sn(IV) versus Li(I) methodology (cf., entries 13/14, 15/16, and 19/20). Only in aldolizations involving (E)-crotonaldehyde did formation of anti products become seriously competitive (entries 18 and 22). Nevertheless, purification by FC/crystallization was so efficient that in all but one case (5k, entry 17, oil, >95% de) aldols 5 were obtained in virtually 100% de (in 31-67% yield).

Stereochemical Assignment and Nondestructive Cleavage of the Aldol Products. Product ratios 3/4/5/6 followed directly from a comparison with independently prepared mixtures of aldols 3-6

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Table III. Hydroperoxide-Assisted Saponification/Esterifications  $3 \rightarrow 1 + 12$  and  $5 \rightarrow 1 + 13$ 

			sultam 1 yield (%)	methoxycarbonyl aldol					
R <sup>1</sup>	R <sup>2</sup>	sultam aldol		product	yield (%)	config.	$[\alpha]_{D}^{a}$	$[\alpha]_{D}$ (lit. <sup><i>a</i></sup> ref)	
Me	Ph	3a	91	12a	83	(2R, 3R)	+23.5°	+23.2° (4b)	
Me	Me	3b	95	12b	71	(2R, 3S)	-13.5°	+14.3° b (21a)	
Me	<i>i</i> Pr	3d	90	12d	84	(2R, 3S)	+7.5°	+7.7° (4b)	
Et	Ph	3g	89	12g	76	(2R, 3R)	+13.5°	+12.0° (6c)	
Me	Ph	5a	92	13a	76	(2S, 3S)	-20.8°		
Me	<i>i</i> Pr	5d	91	13d	91	(2S, 3R)	-7.1°		
Me	<i>n</i> Pr	5k	87	13k	84	(2S, 3R)	+12.1°		
Me	MeCH=CH	5e	91	13e	86	(2S, 3R)	+11.5°		
Et	Ph	5g	94	13g	83	(2S, 3S)	-13.0°		
Et	iPr	<b>5</b> ī	93	13i	92	(2S, 3R)	-7.4°	$+7.6^{\circ b}$ (6c)	
Et	MeCH=CH	51	94	131	52	(2S, 3R)	-7.1°	· · ·	

<sup>a</sup> In CHCl<sub>3</sub> except 12b, measured in MeOH.  ${}^{b}[\alpha]_{D}$  reported for the antipode.

Table IV. Reductive Cleavage  $3 \rightarrow 1 + 11$ 

				diol 11					
	$\mathbf{R}^{1}$	R²	sultam 1 yield (%)	yield (%)	config	[α] <sub>D</sub>	$[\alpha]_{D}$ (lit. ref)		
a	Me	Ph	88	75	(2S, 3R)	+57.8°			
b	Me	Me	90	79	(2S, 3S)	+6.0°			
d	Me	iPr	93	91	(2S, 3S)	+9.2°	+11.3° (21b)		

(HPLC, <sup>1</sup>H NMR) and of their TBDMS ethers 7-10 (GC, cf., Experimental Section). The major products 3 (Table I) or 5 (Table 11) were easily assigned the syn configuration based on the <sup>1</sup>H NMR vicinal coupling constants J(2,3) = 2-4 Hz (3) and 4.0-6.5 Hz (5) as well as the <sup>13</sup>C NMR signals corresponding to  $R^1 = Me: \delta = 10.8-12 \text{ ppm} (3a-3f, 5a, 5d, 5f, 5g, 5k).^{1b}$  In comparison, anti aldol  $6a^{12}$  exhibited <sup>1</sup>H and <sup>13</sup>C NMR values of J(2,3) = 8.5 Hz and  $\delta_{R^1} = Me = 14.8$  ppm, respectively. Mild hydroperoxide-assisted saponification<sup>13</sup> of sultam aldols

3 or 5 gave recovered sultam 1 (89-95%) and, after treatment of the resulting carboxylic acids with  $CH_2N_2$ , the corresponding, enantiomerically pure syn methoxycarbonylaIdols 12 or 13  $(56-93\%, {}^{1}H NMR: J(2,3) = 3.5-5.6 Hz$ , Scheme II, Table III).

The absolute configurations of 12a, 12b, 12d, 12g, 13a, and 13i were determined by comparing their optical rotations with reference values.

Alternatively, reductive cleavage of aldol products 3a, 3b, and 3d with LiAlH<sub>4</sub> gave recovered auxiliary 1 as well as enantiomerically pure 1,3-diols 11a, 11b, and 11d, respectively (Table IV).

Illustrating the preparative value of this method, silyl ether 7c was cleaved with DIBAL-H to provide alcohol 14, a precursor for the synthesis of the cigarette beetle pheromone serricornin<sup>14</sup> (15, Scheme III).

Stereochemical Rationalization: Dichotomy between Boron- and Lithium- or Tin(IV)-Mediated Aldolizations. Enolate Configurations. Treating acylsultams 2 with a dialkylboryl triflate/N- $(iPr)_2Et$  apparently gave boryl enolates 16,  $ML_n = BR_2$  (Scheme IV), assigned the Z configuration based on generally accepted arguments.<sup>1,5</sup> An <sup>1</sup>H NMR study indicates the formation of a single boryl enolate from propionylsultam 2a.

Lithium enolates 16, M = Li, generated by deprotonation of acylsultams 2 with *n*-BuLi, were assigned the Z configuration via correlation of 16g, M = Li, with the corresponding (Z)-O-piva-loyl-N,O-ketene acetal.<sup>15</sup> Transmetalation 16,  $M = Li \rightarrow 16$ ,  $M = SnBu_3$ , is assumed to retain the stereochemical integrity.

All three enolates 16, M = B, Li and Sn(IV) seem to be in equilibrium between the electrostatically favored N-SO<sub>2</sub>/C-OML<sub>n</sub>



5 З crystalline crystalline

s-trans conformation 16<sup>1</sup> and the chelate-enforced s-cis conformation 16<sup>11</sup>.16

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Aldolization. The rate-determining step  $16 \rightarrow 3$  or  $16 \rightarrow 5$  most plausibly proceeds via Zimmerman/Traxler-type transition states implying a coordination of aldehyde R<sup>2</sup>CHO with the enolate counterion.<sup>1</sup> Since the maximal coordination number of dialkylboron(III) is four, it cannot simultaneously bind three oxygen atoms (enolate, aldehyde, SO<sub>2</sub> group) in contrast to Li(I) and  $Bu_3Sn(IV)$  which possess higher coordination potentials. Thus (regardless of the equilibrium position  $16^1 \Rightarrow 16^{11}$ ), the observed topicities conform with transition state I for the boron-mediated aldolization and chelated transition state II for the Li(I)- and Sn(IV)-mediated reaction.<sup>6</sup> Both transition states account for a selective aldehyde approach from the bottom face of 16, opposite to the lone electron pair on the nitrogen atom, in analogy to other electrophiles (iminium salt,<sup>17</sup> primary alkyl halides,<sup>15b</sup> NBS,<sup>18</sup> etc.).

#### Conclusion

This work exemplifies once more the general applicability of sultam 1 (and its antipode) as a practical chiral auxiliary.<sup>19</sup> Its use in the preparation of enantiomerically pure syn aldols compares very favorably with other methods. The potential of this chirophore in asymmetric synthesis, e.g., of anti aldols,<sup>12</sup> is being further explored.

### **Experimental Section**

General Methods. All reactions were carried out under Ar or N2 with magnetic stirring unless otherwise specified. Solvents were dried by distillation from drying reagents as follows: CH<sub>2</sub>Cl<sub>2</sub> (CaH<sub>2</sub>), THF (Na), toluene (K), Et<sub>2</sub>O (Na), DMF (CaH<sub>2</sub>), and MeOH (MgOMe<sub>2</sub>). Dibutylboron triflate was prepared according to the method of Mukaiyama and stored in a Schlenk tube under nitrogen.20

Column flash chromatography (FC): SiO<sub>2</sub> (Merck 9385). HPLC: Waters ALC\GPC-244 (Li Chrosorb, Si60 5 µm), hexane/EtOAc 4:1, 5:1, or 6:1, 1 cm<sup>3</sup>/min, unless otherwise stated, retention time in minutes (area %). GC: Hewlett Packard 5790A, integrator HP3390, capillary column (fused silica, 0.2 mm i.d., 12 m). OV-1, 10 psi H<sub>2</sub>; A, 160°, 10  $\min \rightarrow 10^{\circ}/\min \rightarrow 270^{\circ}$ ; B, 100°, isotherm; C, 125°,  $\rightarrow 5^{\circ}/\min \rightarrow 250^{\circ}$ ; D, 150°, 5 min, 10°/min  $\rightarrow 260^{\circ}$ ; E, 200°, 10 min,  $\rightarrow 10^{\circ}/\min \rightarrow 270^{\circ}$ ; retention time in min (area %). Mp: Kofler hot stage apparatus, uncorrected.  $[\alpha]$ : Perkin-Elmer-241 polarimeter; in CHCl<sub>3</sub> at 20 °C, unless otherwise stated. IR: Mattson Instruments Polaris, CHCl<sub>3</sub> unless otherwise stated. <sup>1</sup>H NMR at 360 MHz in CDCl<sub>3</sub> unless otherwise stated; <sup>13</sup>C NMR at 50 MHz in CDCl<sub>3</sub> unless otherwise stated; standard tetramethylsilane ( $\delta = 0$  ppm): J in Hz. MS: m/z (rel intensity %)

N-Acylsultams. General Procedure. A solution of (2R)-bornane-10,2-sultam 13 (2.0 g, 9.3 mmol) in toluene (40 mL) was added dropwise to a suspension of NaH (606 mg, 55% dispersion in mineral oil, 13.9 mmol) in toluene (10 mL), and the mixture was stirred for 2 h at room temperature. Addition of freshly distilled acid chloride (13.9 mmol) in toluene (40 mL), stirring for 2 h, followed by addition of saturated aqueous  $NH_4Cl$ , separation of the toluene layer, extraction of the aqueous phase (Et<sub>2</sub>O), drying of the combined organic layers (MgSO<sub>4</sub>), evaporation and crystallization gave the respective N-acylsultam.

N-Propionylbornane-10,2-sultam (2a). Colorless crystals from MeOH  $(2.19 \text{ g}, 87\%); \text{GC}(A) 9.19; \text{mp } 153-154 \text{ °C}; [\alpha]_{\text{D}} = -108.38^{\circ} (c \ 2.65).$ 

**N-Butanoylbornane-10,2-sultam** (2g). Colorless crystals from  $CH_2Cl_2$ /hexane, 2.18 g (82%); GC(A) 11.89; mp 86–87 °C;  $[\alpha]_D$  = -96.0° (c 0.84)

N-Hexanoylbornane-10,2-sultam (2j). Oil, FC (hexane/Et<sub>2</sub>O 1:1), 2.86 g (98%); GC(A) 15.36;  $[\alpha]_D = -84.87^\circ$  (c 1.33).

Aldol Reactions of N-Acylsultams 2 via Their Dialkylboron Enolates. Using Freshly Distilled Dibutylboryl Triflate. n-Bu<sub>2</sub>BOTf (1.0 N in CH<sub>2</sub>Cl<sub>2</sub>, 1.1 mol equiv) was added dropwise to a stirred solution of the appropriate N-acylsultam 2 in CH<sub>2</sub>Cl<sub>2</sub> (1.4 mL, 0.37 mmol) at -5 °C. After stirring at -5 °C for 5 min, slow addition of (iPr)<sub>2</sub>EtN (1.1 mol equiv) and further stirring for 30 min at -5 °C to -3 °C, the mixture was cooled to -78 °C. Addition of freshly distilled aldehyde (5.0 mol equiv), stirring for 15 min at -78 °C, quenching with aqueous phosphate buffer (pH = 7, 0.6 mL, 0.37 mmol), extraction  $(Et_2O)$  followed by washing of the combined organic extracts (saturated aqueous NH<sub>4</sub>Cl), drying (MgSO<sub>4</sub>), and evaporation gave the crude product which was subjected to HPLC analysis. Purification by FC (hexane/EtOAc 4:1) and/or crystallization furnished the pure (2R)-aldol diastereoisomer 3.

Using in Situ Prepared Diethylboryl Triflate. CF<sub>3</sub>SO<sub>3</sub>H (3.7 mmol) was added to a 1 M solution of BEt<sub>3</sub> (hexane, 3.7 mmol) at room temperature, and the mixture was stirred at 40 °C (until gas evolution has ceased). After successive addition of acylsultam 2 (1.85 mmol) in CH<sub>2</sub>Cl<sub>2</sub> (7 mL) and 1 M NEt(*i*Pr)<sub>2</sub> (CH<sub>2</sub>Cl<sub>2</sub>, 3.9 mmol), stirring at -5 °C for 30 min, addition of the corresponding aldehyde at -78 °C, stirring at -78 °C for 75 min and workup, the reaction mixture was analyzed and purified as described above.

O-TBDMS Derivatives 7. 2,6-Lutidine (2.0 mol equiv) followed by tert-butyldimethylsilyltriflate (1.5 mol equiv) was added at 0 °C to a solution of 3 (CH<sub>2</sub>Cl<sub>2</sub>, 0.1 mmol/mL). After stirring (0  $^{\circ}$ C to room temperature, 10 min), saturated aqueous NH4Cl was added, and the aqueous phase was extracted (Et<sub>2</sub>O). Drying (MgSO<sub>4</sub>), evaporation, and crystallization gave 7.

N-[(2R,3R)-2-Methyl-3-hydroxy-3-phenylpropanoyl]bornane-10,2sultam (3a). Using Freshly Distilled Bu2BOTf. Propionylsultam 2a (97 mg, 0.36 mmol) and benzaldehyde (80  $\mu$ L, 0.72 mmol) gave a crude reaction mixture, HPLC (4:1) 7.25 (8), 12.4 (88), 15.2 (0.9), which was crystallized from CH<sub>2</sub>Cl<sub>2</sub>/hexane to provide pure aldol **3a** (107 mg, 80%): HPLC (4:1) 12.39; mp 191-192 °C;  $[\alpha]_D = -98.5^{\circ}$  (c 0.65).

N-[(2R-3S)-3-Hydroxy-2-methylbutanoylbornane-10,2-sultam (3b). Using Freshly Distilled Bu<sub>2</sub>BOTf. Propionylsultam 2a (100 mg, 0.37 mmol) and acetaldehyde gave a crude reaction mixture: HPLC (4:1, 1.5 cm<sup>3</sup>/min) 16.7 (96). FC (hexane/EtOAc 3:1) and crystallization (MeOH) provided pure aldol **3b** (79.8 mg, 69%): HPLC (4:1, 1.5 cm<sup>3</sup>/min) 14.73; mp 96–97 °C;  $[\alpha]_D = -84.9^\circ$  (c 0.67). O-TBDMS derivative **7b**: crystallized from MeOH, 73%; GC(A) 19.39; mp 127–130 °C

N-[(2R,3S)-3-Hydroxy-2-methylpentanoyl]bornane-10,2-sultam (3c). Using in Situ Prepared Et<sub>2</sub>BOTf. Propionylsultam 2a (500 mg, 1.85 mmol) and propanal gave a crude reaction mixture: HPLC (6:1) 9.6 (4.3), 22.5 (82.8), 29.4 (1.8). FC (hexane/EtOAc 4:1) and crystallization from methanol provided pure aldol 3c (485 mg, 80%): mp 120-121 °C; HPLC (6:1) 23.2;  $[\alpha]_{D} = -99.3^{\circ}$  (c 1.06). O-TBDMS derivative 7c: crystallized from MeOH, 95%; GC(D) 15.48; mp 131-133 °C.

N-[(2R,3S)-3-Hydroxy-2,4-dimethylpentanoyl]bornane-10,2-sultam (3d). Using Freshly Distilled Bu<sub>2</sub>BOTf. Propionylsultam 2a (231 mg, 0.85 mmol) and isobutyraldehyde gave a crude reaction mixture: HPLC (4:1) 7.2 (8.9), 9.4 (86.5), 13.6 (2.6). FC (hexane/EtOAc 4:1) and crystallization from hexane provided pure aldol **3d** (207 mg, 71%): HPLC (4:1) 9.44; mp 113–114 °C;  $[\alpha]_D = -83.7^{\circ}$  (*c* 0.77). *O*-TBDMS derivative **7d**: crystallized from MeOH, 73%; GC(A) 20.50; mp 173–174 °C

Via in Situ Prepared Et<sub>2</sub>BOTf. Propionylsultam 2a (100 mg, 0.37 mmol) and isobutyraldehyde (-78 °C, 30 min, then -65 °C, 40 min) gave a crude reaction mixture: HPLC (6:1) 15.4 (97.3), 26.3 (2.7). FC (hexane/EtOAc 6:1  $\rightarrow$  3:1) and crystallization (Et<sub>2</sub>O/hexane) afforded pure aldol 3d (97 mg, 76%), identical with the above-described sample of 3d.

N-[(E)-(2R,3S)-2-Methyl-3-hydroxy-4-hexenoyl]bornane-10,2-sultam (3e). Using Freshly Distilled Bu2BOTf. Propionylsultam 2a (98 mg, 0.36 mmol) and (E)-crotonaldehyde gave a crude reaction mixture: HPLC (4:1) 5.2 (20.6), 8.3 (76.5). FC (hexane/EtOAc 4:1) and crystallization (CH<sub>2</sub>Cl<sub>2</sub>/hexane) provided the pure aldol 3e (66.0 mg, 54%): HPLC (4:1) 8.31; mp 118–119 °C;  $[\alpha]_{D} = -93.6^{\circ}$  (c 0.77). O-TBDMS derivative 7e: crystallized from MeOH, 86%; GC(A) 19.79; mp 110–111 °C.

N-[(2R,3R)-2-Methyl-3-hydroxy-3-(4-methoxyphenyl)propanoyl]bornane-10,2-sultam (3f). Using Freshly Distilled Bu2BOTf. Propionylsultam **2a** (100 mg, 0.37 mmol) and anisaldehyde gave a crude re-action mixture: HPLC (4:1) 6.8 (>2), 16.5 (95), 21.1 (2.4). FC (hexane/EtOAc 3:1) and crystallization (MeOH) afforded pure aldol 3f (72 mg, 48%): HPLC (4:1) 16.99; mp 153–155 °C;  $[\alpha]_D = -93.2^{\circ}$  (c 0.86). O-TBDMS derivative 7f: crystallized from MeOH, 85%; GC(A) 25.51; mp 96-98 °C

N-[(2R,3R)-2-(Hydroxybenzyl)butanoyl]bornane-10,2-sultam (3g). Using Freshly Distilled  $Bu_2BOTf$ . Butanoylsultam 2g (268 mg, 0.94 mmol) and benzaldehyde gave a crude reaction mixture: HPLC (4:1) 6.6 (2), 9.7 (2.4), 11.2 (93.7), which was crystallized (CH<sub>2</sub>Cl<sub>2</sub>/hexane) to furnish pure aldol **3g** (257 mg, 70%): HPLC (4:1) 11.85; mp 219-220 °C;  $[\alpha]_D = -86.6^\circ$  (*c* 0.716). **O-TBDMS** derivative **7g**: crystallized from MeOH, 82%; GC(A) 23.13; mp 170-172 °C.

N-[(2R,3S)-2-Ethyl-3-hydroxybutanoyl]bornane-10,2-sultam (3h). Using Freshly Distilled Bu2BOTf. Butanoylsultam 2g (104 mg, 0.365 mmol) and acetaldehyde gave a crude reaction mixture: HPLC (4:1) 8.7

<sup>(16)</sup> The propensity of the sultam moiety to chelate was demonstrated by an X-ray structure analysis of the N-(E)-crotonoylbornane[10,2]sultam-TiCl4 complex: Oppolzer, W.; Rodriguez, I.; Blagg, J.; Bernardinelli, G. Helv. Chim. Acta 1989, 72, 123.

<sup>Acta 1989, 72, 123.
(17) Oppolzer, W.; Schneider, P. Helv. Chim. Acta 1986, 69, 1817.
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(21) (a) Tai, A.; Imaida, M. Bull. Chem. Soc. Jpn. 1978, 51, 1114. (b) Helmchen, G.; Leikauf, U.; Taufer-Knöpfel, I. Angew. Chem. 1985, 97, 874; Angew. Chem., Int. Ed. Engl. 1985, 24, 874.</sup> 

(6), 9.9 (3.3), 10.3 (2.1), 15.4 (88.5). FC (hexane/EtOAc 3:1) and crystallization (MeOH) provided pure aldol **3h** (77.6 mg, 65%): HPLC (4:1 hexane/EtOAc, 1.5 mL/min) 14.98; mp 166 °C;  $[\alpha]_D = -118.49^{\circ}$  (c 0.384). O-TBDMS derivative **7h**: crystallized from MeOH, 53%; GC(A) 19.84; mp 170-172 °C.

Via in Situ Prepared Et<sub>2</sub>BOTf. Butanoylsultam 2g (100 mg, 0.35 mmol) and acetaldehyde gave a crude reaction mixture: HPLC (4:1) 4.2 (5.7), 9.7 (3.7), 14.8 (90.6). FC (hexane/EtOAc 4:1) and crystallization (MeOH) afforded pure aldol 3h (94 mg, 82%); HPLC (4:1) 14.8; mp 166-167 °C, identical with the above-described sample of 3h.

*N*-[(2*R*,3*S*)-2-Ethyl-3-hydroxy-4-methylpentanoyl]bornane-10,2-sultam (3i). Using Freshly Distilled Bu<sub>2</sub>BOTf. Butanoylsultam 2g (52 mg, 0.185 mmol) and isobutyraldehyde gave a crude reaction mixture; HPLC (4:1) 9.6 (97.6), 12.9 (1.08); FC (hexane/EtOAc 4:1) and crystallization (MeOH) afforded pure aldol 3i (43.2 mg, 66%): HPLC (4:1) 8.77; mp 159-160 °C.  $[\alpha]_D = -143.8^\circ$  (*c* = 0.32): *O*-TBDMS derivative 7i: crystallized from MeOH, 77% GC(A) 20.85; mp 140-142 °C.

N-[(2R,3R)-2-(Hydroxybenzyl)hexanoyl]bornane-10,2-sultam (3j). Using Freshly Distilled Bu<sub>2</sub>BOTf. Hexanoylsultam 2j (101 mg, 0.323 mmol) and benzaldehyde (100  $\mu$ L, 1.1 mmol) furnished a crude reaction mixture, HPLC (5:1) 6.9 (17.1), 12.8 (80.8), which was crystallized from CH<sub>2</sub>Cl<sub>2</sub>/hexane to give pure aldol 3j (86.0 mg, 64%): HPLC (5:1) 12.74; mp 148-149 °C; [ $\alpha$ ]<sub>D</sub> = -89.2° (c 0.62). O-TBDMS derivative 7j: viscous oil, (81%); GC(A) 24.33.

<sup>1</sup>H NMR Study of Boron Enolate 16,  $ML_n = BBu_2$ .  $Bu_2BOTf (1.0 M in CD_2Cl_2, 100 \ \mu$ L, 0.1 mmol) was added dropwise to a stirred solution of *N*-propionylsultam 2a (25.0 mg, 0.09 mmol) in CD\_2Cl\_2 (0.7 mL) at -5 °C. After stirring (-5 °C, 5 min),  $Et(iPr)_2N (17 \ \mu$ L, 0.1 mmol) was added, and the solution was transferred via a canula into a NMR tube which was subsequently sealed under nitrogen: <sup>1</sup>H NMR (CD\_2Cl\_2, 200 MHz, -8.7 °C, signals of interest) 3.20 (s, 2 H, C(10) protons), 3.80 (t, J = 6.5, C(2) proton), 4.39 (q, J = 6.8, 1 H, vinyl proton).

Lithium-Mediated Aldolizations. A solution of *n*-BuLi (1.6 M, hexane, 1.1 mol equiv) was added over 30 min at -78 °C to a solution of the appropriate *N*-acylsultam 2 in THF (5 mL). After stirring the resulting lithium enolate solution at -78 °C for 30 min, freshly distilled aldehyde (2.0-5.0 mol equiv) was added. Stirring at -78 °C for 1 h, addition of 10% aqueous citric acid, extraction (Et<sub>2</sub>O), drying (MgSO<sub>4</sub>), and evaporation of the extracts gave the crude product mixture which was analyzed by HPLC. Subsequent FC and crystallization provided the pure syn aldol **5**.

Tin(1V)-Mediated Aldolizations. Freshly distilled  $Bu_3SnCl$  (1.2 mol equiv) was added to the solution of the above-described lithium enolate at -78 °C. Stirring the mixture for 1 h, addition of the aldehyde, further stirring for 1 h at -78 °C, followed by workup gave crude aldol 5, analyzed and purified as described above.

N-[(2S,3R)-2-Methyl-3-hydroxy-3-phenylpropanoyl]bornane-10,2sultam (5a). A: Lithium-Mediated Aldolization. N-Propanoylsultam 2a (250 mg, 0.92 mmol) was treated, as described above, with *n*-BuLi (1.6 M hexane, 630  $\mu$ L). Addition of benzaldehyde (140  $\mu$ L, 1.38 mmol) to the resulting lithium enolate solution gave a crude reaction mixture: HPLC (4:1) 10.47 (15.2), 12.37 (75.7), 29.8 (9). FC (hexane/EtOAc 4:1) followed by crystallization (MeOH) afforded pure aldol 5a (190 mg, 55%): HPLC (6:1) 23.05 (98); mp 77.5-79 °C.

**B**: Tin(1V)-Mediated Aldolization. Successive treatment of the above-mentioned lithium enolate with Bu<sub>3</sub>SnCl (300 µL, 1.1 mmol) and benzaldehyde gave a crude product mixture: HPLC (6:1) 12.77 (14.8), 17.51 (85.2). FC (hexane/EtOAc 4:1) followed by crystallization (MeOH) provided pure aldol 5a (233 mg, 67%): HPLC (6:1) 23.25 (>99); mp = 78-79 °C;  $[\alpha]_D = -23.07^\circ$  (c 1.04). *N*-[(2S,3R)-3-Hydroxy-2,4-dimethylpentanoyl]bornane-10,2-sultam

*N*-[(2*S*,3*R*)-3-Hydroxy-2,4-dimethylpentanoyl]bornane-10,2-sultam (5d). *N*-Propanoylsultam (250 mg, 0.92 mmol) was converted to its tin(IV) enolate as described above. Addition of isobutyraldehyde (168  $\mu$ L, 1.85 mmol) and stirring at -78 °C for 1 h and then at -60°C for another 1 h, followed by workup, gave a crude reaction mixture containing auxiliary 1 (11% by <sup>1</sup>H NMR): HPLC (6:1) 17.13 (2.5), 18.12 (12.6), 28.37 (84.8). FC (hexane/EtOAc 4:1) followed by crystallization furnished pure aldol 5d (138 mg, 44%): HPLC (4:1) 20.96 (>99%): mp 128-129 °C; [ $\alpha$ ]<sub>D</sub> = -61° (*c* 0.09, *T* = 22.5 °C).

[(25,3*R*)-3-Hydroxy-2-methylhexanoyl]bornane-10,2-sultam (5k). N-Propanoylsultam (250 mg, 0.92 mmol) was converted to its tin(IV) enolate as described above. Treatment with *n*-butyraldehyde (500  $\mu$ L, 5.5 mmol) and workup gave a crude product mixture: HPLC (6:1) 24.87 (78.5). FC (hexane/EtOAc 6:1) afforded pure aldol 5k: oil (168 mg, 53%); HPLC (6:1) 23.89 (100);  $[\alpha]_D = -48^\circ$  (c 1.52).

N-[(2S,3R)-2-Methyl-3-hydroxy-(E)-4-hexenoyl]bornane-10,2-sultam (5e). N-Propanoylsultam 2a (250 mg, 0.92 mmol) was converted to its tin(1V) enolate as described above. Treatment with (E)-crotonaldehyde (155  $\mu$ L, 1.84 mmol) and workup gave a crude reaction mixture: HPLC (6:1) 21.3 (30), 26.1 (5), 31.3 (64.5). FC (hexane/EtOAc 6:1) yielded

Table V. Aldolization  $2a \rightarrow 3a/4a/5a/6a$ : Effect of Enolate Counterion

transm	etalation co	nditions			
metal halide mol equiv		temp (°C)	metal	product ratio 3a/5a/4a + 6a	yield
none	0		Li	5:91:4	53
Bu <sub>3</sub> SnCl	1.0	0	Sn(IV)	9:89:2	64
ZnCl <sub>2</sub>	1.0	0	Zn	17:52:31(28:3)	56
EtAlĈl <sub>2</sub>	1.0	-40	Al	36:41:23(22:1)	88
EtAlCl <sub>2</sub>	2.0	-78	Al	33:34:33	
Me <sub>2</sub> AlĈl	3.0	-40	Al	15:35:50(49:1)	82
CeČl	1.25	-78	Ce	17:83:0	70
$Cp_2ZrCl_2$	1.1	0	Zr	complex mixture	

a less polar anti aldol: <sup>1</sup>H NMR 4.13 (q, J = 7.5, 1 H). Crystallization of the major fraction (hexane/CH<sub>2</sub>Cl<sub>2</sub>) yielded pure aldol **5e** (139 mg, 44%): mp 140-141 °C; HPLC (6:1) 29.1 (>99);  $[\alpha]_D = -59^\circ$  (c 1.47, CDCl<sub>3</sub>).

*N*-[(2*S*,3*S*)-2-(Hydroxybenzyl)butanoyl]bornane-10,2-sultam (5g). A: Lithium-Mediated Aldolization. *N*-Butanoylsultam 2g (250 mg, 0.87 mmol) was treated, as described above, with *n*-BuLi (600  $\mu$ L, 0.96 mmol). Addition of benzaldehyde (185  $\mu$ L, 1.74 mmol) to the resulting lithium enolate solution gave a crude reaction mixture: HPLC (6:1) 13.48 (5.6), 16.81 (94.4). FC (hexane/EtOAc 6:1) gave a less polar anti isomer: <sup>1</sup>H NMR 4.81 (q, *J* = 7.5, 1 H). A sample of the combined, more polar fractions was converted to the *O*-TBDMS ethers: GC(A) 22.8 (7), 23.2 (93). Crystallization (MeOH), afforded pure aldol 5g (199 mg, 58.5%): mp 129–130 °C. HPLC (6:1) 18.19 (>99%); [ $\alpha$ ]<sub>D</sub> = -32.7° (*c* 0.96).

**B**: Tin(1V)-Mediated Aldolization. Successive treatment of the above-mentioned lithium enolate with Bu<sub>3</sub>SnCl (280  $\mu$ L, 1.04 mmol) and benzaldehyde gave a crude product mixture: HPLC (6:1) 13.3 (9.2), 16.5 (90.8). After removal of the less polar anti isomer by FC (hexane/EtOAc 6:1) a sample was converted to the O-TBDMS ethers: GC-(A) 22.8 (11.3), 23.1 (88.7). Crystallization (MeOH) furnished pure aldol 5g (217 mg, 64%) identical with a sample prepared via the lithium-mediated aldolization.

*N*-[(2*S*,3*R*)-2-Ethyl-3-hydroxy-4-methylpentanoyl]bornane-10,2-sultam (5i). *N*-Butanoylsultam 2g (250 mg, 0.87 mmol) was converted to its tin(IV) enolate as described above. Treatment with isobutyraldehyde (500  $\mu$ L, 5.5 mmol) and workup gave a crude product mixture: HPLC (6:1) 14.79 (16.7), 28.32 (82:2). FC (hexane/EtOAc 6:1) gave a less polar anti aldol: <sup>1</sup>H NMR 3.13 (q, *J* = 7.5, 1 H). Crystallization (hexane/CH<sub>2</sub>Cl<sub>2</sub>) of the combined, more polar fractions yielded pure aldol 5i (145 mg, 46.5%): mp 165–166 °C; HPLC (6:1) 16.95 (>99%); [ $\alpha$ ]<sub>D</sub> = -61.8° (*c* 1.31).

N-[(2S,3R)-2-Ethyl-3-hydroxy-(E)-4-hexenoyl]bornane-10,2-sultam (51). N-Butanoylsultam 2g (400 mg, 1.4 mmol) was treated successively with *n*-BuLi (965  $\mu$ L, 1.54 mmol), Bu<sub>3</sub>SnCl (455  $\mu$ L, 1.68 mmol), and (E)-crotonaldehyde (234  $\mu$ L, 2.8 mmol): HPLC of crude product (6:1) 15.75 (28.5), 18.18 (2), 21.81 (66), 27.22 (3.16). FC (hexane/EtOAc 6:1) followed by crystallization (hexane/CH<sub>2</sub>Cl<sub>2</sub>) gave pure aldol 51 (154 mg, 31%); mp 130.5-131.5 °C; HPLC (6:1) 20.19 (100).

Aldolization  $2a \rightarrow 3a/4a/5a/6a$ : Effect of Enolate Counterion. A 2 M solution of propionylsultam 2a (1 mol equiv) was added dropwise at -78 °C to a freshly prepared solution of lithium cyclohexylisopropylamide (LICA, THF, 1.05 mol equiv). The resulting solution of lithium enolate was treated first with 0-3 mol equiv of a metal halide at -78 to 0 °C and then with benzaldehyde (5 mol equiv) at -78 °C. After 20 min at -78 °C, 10% aqueous citric acid was added to give, after workup, mixtures 3a/4a/5a/6a which were analyzed by HPLC (Table V).

*N*-Ethylpiperidine (1.2 mol equiv) was added dropwise to a stirred mixture of  $Sn(OTf)_2$  (1.2 mol equiv) and propanoylsultam **2a** (1.0 mol equiv) in CH<sub>2</sub>Cl<sub>2</sub> at 0 °C. After addition of benzaldehyde (2.4 mol equiv) at -78 °C the mixture was stirred at -78 °C for 30 min and then stirred at 0 °C for 16 h. Workup and HPLC analysis showed unchanged **2a** and no **3a/4a/5a/6a** products.

Nondestructive Cleavage of Aldol Sultams. Hydroperoxide-Assisted Saponification/Esterification. General Procedure. Aqueous (30%) H<sub>2</sub>O<sub>2</sub> (2.4 mol equiv) and LiOH·H<sub>2</sub>O (1.2 mol equiv) were added at 0 °C to a solution of sultam aldol 3 or 5 (1.0 mol equiv) in THF/H<sub>2</sub>O (4:1, 0.15 mmol/mL). Stirring of the mixture at 0 °C for 3-10 h, addition of saturated aqueous Na<sub>2</sub>SO<sub>3</sub> (2.0 mL), acidification with 1 N HCl, saturation with NaCl, extraction with ether, drying, and evaporation of the extracts gave an oily residue which was treated with CH<sub>2</sub>N<sub>2</sub> (excess). Evaporation of the solution and crystallization of the residue (hexane/ Et<sub>2</sub>O 4:1) gave recovered auxiliary 1. FC (hexane/Et<sub>2</sub>O 2:1) of the mother liquor provided pure  $\beta$ -hydroxy ester. (2*R*,3*R*)-Methyl 2-Methyl-3-hydroxy-3-phenylpropanoate (12a). Applying the general saponification/esterification procedure, 3a (130 mg, 0.345 mmol) gave auxiliary 1 (67.4 mg, 91%) and hydroxy ester 12a (55.4 mg, 83%): GC(B) 11.01;  $[\alpha]_D = +23.5^\circ$  (c 3.23); lit.<sup>4b</sup>  $[a]_D = +23.2^\circ$ , (c 3.2, CHCl<sub>3</sub>).

(2R,3S)-Methyl 2-Methyl-3-hydroxybutyrate (12b). On applying the general saponification procedure, but modifying the workup (addition of saturated aqueous Na<sub>2</sub>SO<sub>4</sub>, then NaCl, extraction with CH<sub>2</sub>Cl<sub>2</sub>), **3b** (175 mg, 0.56 mmol) gave auxiliary 1 (112.9 mg, 95%). Acidification of the aqueous phase, extraction, evaporation, and esterification with CH<sub>2</sub>N<sub>2</sub> provided hydroxy ester **12b** (52.0 mg, 71%): GC (75°, isotherm) 1.38;  $[\alpha]_D = -13.5^\circ$  (c 0.867, MeOH); lit. (antipode)<sup>21a</sup>  $[\alpha]_D = +14.3^\circ$ , c 5.0, MeOH,  $T = 20^\circ$ C).

(2*R*,3*S*)-Methyl 2,4-Dimethyl-3-hydroxypentanoate (12d). Applying the general saponification/esterification procedure, 3d (125 mg, 0.35 mmol) gave auxiliary 1 (67.7 mg, 90%) and hydroxy ester 12d (54.3 mg, 84%): GC(B) 1.59;  $[\alpha]_D = +7.50^\circ$  (*c* 2.51); lit.<sup>4b</sup>  $[\alpha]_D = +7.7^\circ$ , (*c* 5.4, CHCl<sub>3</sub>,  $T = 25^\circ$ C).

(2*R*, 3*R*)-Methyl 2-Ethyl-3-hydroxy-3-phenylpropanoate (12g). Applying the general saponification/esterification procedure, 3a (125 mg, 0.32 mmol) gave auxiliary 1 (61.6 mg, 89%) and hydroxy ester 12g (50.2 mg, 76%): GC(B) 15.20.  $[\alpha]_D = +13.5^\circ$ ,  $[\alpha]_{578} = +14.45^\circ$  (*c* 1.55); lit.<sup>6c</sup>  $[\alpha]_D = +12.0^\circ$ , *c* 1.58, CHCl<sub>3</sub>, 96% ee). (2S, 3S)-Methyl 2-Methyl-3-hydroxy-3-phenylpropanaote (13a).

(25,35)-Methyl 2-Methyl-3-hydroxy-3-phenylpropanaote (13a). Applying the general saponification/esterification procedure, **5a** (65 mg, 0.17 mmol) gave auxiliary 1 (34.1 mg, 92%) and hydroxy ester 13a (25.4 mg, 76%):  $[\alpha]_D = -20.8^{\circ}, [\alpha]_{578} = -21.7^{\circ}, [\alpha]_{546} = -24.1^{\circ}, [\alpha]_{436} = -38.4^{\circ}, [\alpha]_{365} = -52.5^{\circ}$  (c 1.3). IR, <sup>1</sup>H NMR, <sup>13</sup>C NMR, and MS are identical with those of 12a.

(25,3*R*)-Methyl 2,4-Dimethyl-3-hydroxypentanoate (13d). Applying the general saponification/esterification procedure, 5d (100 mg, 0.29 mmol) gave auxiliary 1 (56.5 mg, 90.6%) and hydroxy ester 13d (42 mg, 90.5%):  $[\alpha]_D = -7.14^\circ$ ,  $[\alpha]_{578} = -7.47^\circ$ ,  $[\alpha]_{546} = -8.40^\circ$ ,  $[\alpha]_{436} = -8.99^\circ$ ,  $[\alpha]_{365} = -19.32^\circ$  (c = 1.19). IR, <sup>1</sup>H NMR, <sup>13</sup>C NMR, and MS identical with those of 12d.

(25,3*R*)-Methyl 2-Methyl-3-hydroxyhexanoate (13k). Applying the general saponification/esterification procedure, 5k (100 mg, 0.29 mmol) gave auxiliary 1 (54 mg, 87%) and hydroxy ester 13k (39 mg, 84%):  $[\alpha]_D = +12.05^\circ$  (c 1.92,  $T = 25.5^\circ$ C).

(2.5,3*R*)-Methyl 2-Methyl-3-hydroxy-(*E*)-4-hexenoate (13e). Applying the general saponification/esterification procedure, 5e (100 mg, 0.29 mmol) gave auxiliary 1 (56.5 mg, 90.5%) and hydroxy ester 13e (36 mg, 85.5%): GC(B) 1.66;  $[\alpha]_D = +11.52^\circ$  (*c* 0.82,  $T = 25.5^\circ$ C).

(25,35)-Methyl 2-Ethyl-3-hydroxy-3-phenylpropanoate (13g). Applying the general saponification/esterification procedure, 5g (100 mg, 0.25 mmol) gave auxiliary 1 (50.05 mg, 94%) and hydroxy ester 13g (43 mg, 82.5%): GC(B) 5.08;  $[\alpha]_D = -12.99^\circ$   $[\alpha]_{578} = -13.46^\circ$ ,  $[\alpha]_{546} = -15.19^\circ$ ,  $[\alpha]_{436} = -19.71^\circ$ ,  $[\alpha]_{365} = -22.30^\circ$  (c 1.04). IR, <sup>1</sup>H NMR, <sup>13</sup>C NMR, and MS identical with those of antipode 12f.

(25,3*R*)-Methyl 2-Ethyl-4-methyl-3-hydroxypentanoate (13i). Applying the general saponification/esterification procedure, 5i (120 mg, 0.33 mmol) gave auxiliary 1 (66 mg, 93%) and hydroxy ester 13i (52.5 mg, 91.5%): GC(B) 1.85;  $[\alpha]_D = -7.4^\circ$  (*c* 0.97); lit. (antipode)<sup>6c</sup>  $[\alpha]_D = +7.6^\circ$ .

(2S,3R)-Methyl 2-Ethyl-3-hydroxy-4-hexenoate (131). Applying the general saponification/esterification procedure, 51 (100 mg, 0.28 mmol) gave auxiliary 1 (56.5 mg, 94%) and hydroxy ester 131 (25 mg, 52%):  $[\alpha]_{\rm D} = -7.1^{\circ}$  (c 0.92).

Reductive Cleavage. General Procedure. A solution of the aldol product 3 (1.0 mol equiv) in THF/Et<sub>2</sub>O (1:3, 4 mL/0.4 mmol) was added to a stirred suspension of LiAlH<sub>4</sub> (2.5 mol equiv) in Et<sub>2</sub>O (1.0 mL/mmol) at 0 °C. Stirring (0 °C, 3 h), addition of saturated aqueous NH<sub>4</sub>Cl, extraction with Et<sub>2</sub>O, drying (MgSO<sub>4</sub>), concentration, and FC (SiO<sub>2</sub>, hexane/Et<sub>2</sub>O, then Et<sub>2</sub>O) gave the recovered sultam 1 and the respective, optically pure 1,3-diol 11.

(1*R*,2*S*)-1-Phenyl-2-methylpropane-1,3-diol (11a). Applying the general reduction procedure, 3a (130 mg, 0.35 mmol) gave auxiliary 1 (64.8 mg, 88%) and diol 11a (43.0 mg, 75%), crystallized from Et<sub>2</sub>O/ hexane: mp 75-76 °C; GC(B) 12.68;  $[\alpha]_D = +57.8^\circ$  (*c* 0.45).

(2.5,35)-2-Methylbutane-1,3-diol (11b). Applying the general reduction procedure, 3b (128 mg, 0.41 mmol) gave auxiliary 1 (78.2 mg, 90%) and diol 11b, viscous oil (33.1 mg, 79%): GC(B) 1.42;  $[\alpha]_D = +5.97^\circ$  (c 0.32).

(2S,3S)-2,4-Dimethylpentane-1,3-diol (11d). Applying the general reduction procedure, 3d (135 mg, 0.40 mmol) gave auxiliary 1 (75.3 mg,

93%) and diol 11d (45.2 mg, 91%), crystallized from MeOH: mp 83 °C; GC(B) 1.57;  $[\alpha]_D = +9.2^{\circ} (c \ 0.71)$ ; lit.<sup>21b</sup>  $[\alpha]_D = +11.3^{\circ} (c \ 0.6, CHCl_3, T = 20 °C).$ 

(25,35)-3-((tert-Butyldimethylsilyl)oxy)-2-methylpentan-1-ol (14). Diisobutylaluminum hydride (1 M) (hexane 0.643 mL) was added over 10 min to a solution of 7c (Et<sub>2</sub>O, 95 mg, 0.214 mmol) at 0 °C. Stirring of the reaction mixture at 0 °C for 2 h, quenching with saturated aqueous NH<sub>4</sub>Cl at -10 °C, stirring for 5 min, extraction with Et<sub>2</sub>O, drying (MgSO<sub>4</sub>), and evaporation gave a residue. Trituration with pentane provided insoluble solid sultam 1 (44 mg, 96%) and a solution which on FC (hexane/EtOAc 8:1) and bulb-to-bulb distillation (110-120 °C, bath, 1.5 Torr) provided serricornin precursor 14 (25 mg, 50%), oil: GC 70°, 3 min, 30°/min  $\rightarrow$  260°, 5.71; [ $\alpha$ ]<sub>D</sub> = -4.8° (c 0.883, T = 25 °C); lit.<sup>14b</sup> [ $\alpha$ ]<sub>D</sub> = -3.5° (c 1.98).

Preparation of Mixtures of Sultam Aldols 3/4/5/6 and Their O-TBDMS Ethers 7/8/9/10. A solution of diisopropylamine (2.0 mol equiv) in THF (1.2 mL/mmol) was cooled to -78 °C and treated with *n*-butyllithium (1.6 M in hexane, 2.0 mol equiv), allowed to warm to °C (10 min), and subsequently cooled to -50 °C. A solution of propionic acid, butanoic acid, or hexanoic acid (1.0 mol equiv) in THF (0.5 mL/mmol) was added dropwise, and stirring was continued (20 °C, 1 h) whereupon a white precipitate formed. Upon cooling to -40 °C the appropriate aldehyde (1 mol equiv) was added, and stirring was continued until the white precipitate had disappeared (20 min). Addition of H<sub>2</sub>O (5 mL), removal of THF, further addition of H<sub>2</sub>O (50 mL) and extraction (Et<sub>2</sub>O), followed by acidification of the aqueous phase (2 N H<sub>2</sub>SO<sub>4</sub>), extraction (Et<sub>2</sub>O), and drying (MgSO<sub>4</sub>) gave mixtures of racemic syn and anti  $\beta$ -hydroxy acids.

A solution of this mixture in DMF (3 mL/mmol) was treated with imidazole (4.0 mol equiv) and  $tBuMe_2SiCl$  (2.0 mol equiv). After stirring (room temperature, 16 h) the reaction mixture was poured onto water and extracted (hexane). The combined organic phases were washed (saturated aqueous NaHCO<sub>3</sub>), dried, evaporated, and distilled to give mixtures of racemic syn- and anti-3-((tert-butyldimethylsilyl)oxy)-tert-butyldimethylsilyl esters.

A solution of this mixture (1.0 mol equiv) in  $CH_2Cl_2$  (3 mL/mmol) was treated with DMF (2 drops) followed by oxaloyl chloride (1.1 mol equiv). After stirring (3 h, 20 °C), evaporation and bulb-to-bulb distillation gave the corresponding mixture of racemic syn- and anti-3-O-TBDMS acid chlorides.

A suspension of NaH (55% dispersion in mineral oil, 1.5 mol equiv) in toluene (25 mg/mL) was treated with a solution of sultam 1 (1.0 mol equiv) in toluene (38 mg/mL) and stirred (2 h, 20 °C). Adding a solution of the above-described acid chloride stereoisomer mixture (1.0 mol equiv in toluene) and stirring for 16 h followed by workup gave a mixture of O-TBDMS derivatives 7/8/9/10 which showed the following GC data: 7a-10a(A) 22.3 (23), 22.8 (20), 22.9 (22), 23 (26); 7b-10b(A) 18.6 (17), 18.8 (21), 19.23 (44); 7d-10d(A) 20.0 (39), 20.2 (40), 20.5 (11), 20.6 (11); 7g-10g(E) 18.3 (29), 19.6 (11), 18.95 (55). 7h-10h(A) 19.4 (52), 19.7 (25), 19.8 (19). For comparison the corresponding sample was coinjected with one of these stereoisomer mixtures.

Aqueous (40%) HF (2.0 mol equiv) was added dropwise to a cooled solution of the corresponding mixture 7/8/9/10 (1.0 mol equiv) in MeCN (33 mg/mL). Stirring at 20 °C for 1.5 h and aqueous workup provided a mixture of sultam aldols 3/4/5/6 which showed the following HPLC data: **3a-6a** (4:1) 11.5 (58), 14.1 (31), 36.3 (11); **3b-6b** (4:1, 1.5 cm<sup>3</sup>/min) 14.4 (25), 15.8 (26), 16.6 (30), 27 (19); **3d-6d** (6:1) 16.0 (11), 17.1 (56), 27.3 (12), 29 (20); **3g-6g** (5:1) 10.5 (28), 12.4 (11), 12.8 (11) >27 (~28); **3h-6h** (4:1) 13.3 (21), 13.7 (35), 20.1 (20), 26.1 (24). For comparison the corresponding sample was coinjected with one of these stereoisomer mixtures.

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Supplementary Material Available: Characterization data ( $[\alpha]$  values, IR, <sup>1</sup>H NMR, <sup>13</sup>C NMR, MS, and HR MS) for 2a, 2g, 2j, 3a-j, 5a, 5d, 5e, 5g, 5i, 5k, 5l, 12a, 12b, 12d, 12g, 13e, 13i, 13k, 13l, 11a, 11b, 11d, and 14 (12 pages). Ordering information is given on any current masthead page.